

U.S. DEPARTMENT OF THE TREASURY

AN INTRODUCTION TO THE

Coronavirus Capital Projects Fund

for States, Territories, and Freely Associated States

September 2021



About This Webinar

The U.S. Department of the Treasury is providing this webinar and other resources for informational purposes.

The descriptions provided in this document are non-exhaustive, do not describe all terms and conditions associated with the use of Coronavirus Capital Projects Fund (Capital Projects Fund), and do not describe all of the eligible and ineligible uses that may apply to this funding.

Any Capital Projects Fund funding received will be subject to the terms and conditions of the agreement entered into by Treasury and the respective recipients, as is outlined by the guidance.



Agenda

SECTION 1: Welcoming Remarks

SECTION 2: Overview

SECTION 3: Use of Funds

SECTION 4: How to Apply and Access Funds

SECTION 5: Reporting Requirements

SECTION 6: Q&A



SECTION 1: Welcoming Remarks

SECTION 2: Overview

Capital Projects Fund Overview

The Capital Projects Fund provides access to \$10 billion for state, territorial, freely associated state, and Tribal governments to invest in capital projects to aid their response to the COVID-19 public health emergency. Making funding available for broadband infrastructure is a priority of the fund.

On September 20, Treasury launched the Capital Projects Fund. Treasury began accepting applications from states, territories, and freely associated states on September 24.

The Capital Projects Fund aims to:

- Directly **support recovery from the COVID-19 public health emergency** by strengthening and improving infrastructure necessary for participation in work, education, and health monitoring that will last beyond the pandemic.
- Enable investments in capital assets designed to **address inequities in access to critical services**.
- Contribute to the Administration's goal to **provide every American with the modern infrastructure** necessary to access critical services, including a high-quality and affordable broadband internet connection.



Eligible Applicants and Funding Allocations

In the American Rescue Plan Act, Congress allocated funding for eligible state, territorial, freely associated state, and Tribal governments.

Type	Amount
States, District of Columbia, and Puerto Rico	\$9.8 billion
Territories and Freely Associated States	\$100 million
Tribal Governments and the State of Hawaii (for Native Hawaiian programs)	\$100 million

Specific funding allocations can be found at: [treasury.gov/CPF](https://www.treasury.gov/CPF)



Eligibility Criteria

Statutory Language: “\$10,000,000,000...for making payments to States, territories, and Tribal governments to carry out critical capital projects directly enabling work, education, and health monitoring, including remote options, in response to the public health emergency with respect to the Coronavirus Disease (COVID-19)”

The Capital Projects Fund authorizes funding for projects that meet the following criteria.

A Capital Project must:

1. Invest in capital assets designed to directly enable work, education, and health monitoring.
2. Be designed to address a critical need that resulted from or was made apparent or exacerbated by the COVID-19 public health emergency.
3. Be designed to address a critical need of the community to be served by it.

SECTION 3: Use of Funds

Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.



**Broadband
Infrastructure Projects**



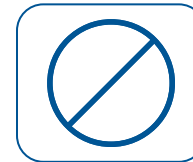
**Digital Connectivity
Technology Projects**



**Multi-Purpose
Community Facility
Projects**



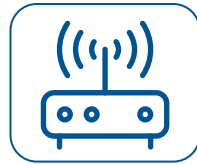
**Case-by-Case
Reviews**



**Ineligible
Uses**

Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.



**Broadband
Infrastructure Projects**



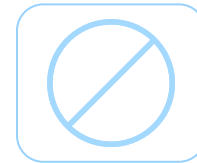
**Digital Connectivity
Technology Projects**



**Multi-Purpose
Community Facility
Projects**



**Case-by-Case
Reviews**



**Ineligible
Uses**



Presumptively Eligible Broadband Infrastructure Projects

Recipients may use these funds to make critical investments in broadband infrastructure (in addition to funds available under the State & Local Fiscal Recovery Fund for necessary broadband infrastructure).

Eligibility Criteria:

**Eligible
Project Focus**

- Encouraged to focus on serving locations without access to reliable wireline speeds of 100 Mbps download / 20 Mbps upload, but provide flexibility to recipients to invest in other areas of critical need



**Eligible Project
Standards**

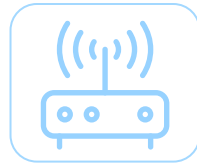
- Designed to deliver service that reliably meets or exceeds 100 Mbps download and 100Mbps upload speeds, unless impracticable



**Recipients must invest in broadband infrastructure
that addresses a critical need for the community**

Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.



**Broadband
Infrastructure Projects**



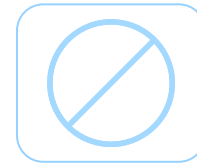
**Digital Connectivity
Technology Projects**



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Community Facility
Projects**



**Case-by-Case
Reviews**



**Ineligible
Uses**



Presumptively Eligible Digital Connectivity Technology Projects

This funding may be used to purchase and/or install devices and equipment to facilitate internet access where affordability has been identified as a barrier to broadband adoption and use.

Presumptively Eligible Technologies



**Desktop Computers,
Laptop Computers,
and Tablets**


- These devices can be purchased for distribution to members of the public through short- or long-term loan programs or made available for use in public facilities



Wi-Fi Equipment

- Equipment installed as a part of public Wi-Fi infrastructure, such as access point, repeaters, routers, etc.

Not Presumptively Eligible Technologies

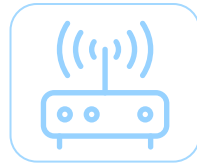


iPhones, Televisions

- These devices do not qualify for purchase using CPF funds as they do not permit users to fully participate in work, education, and health monitoring activities

Use of Funds

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**Broadband
Infrastructure Projects**



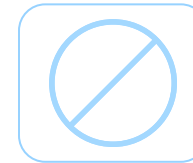
**Digital Connectivity
Technology Projects**



**Multi-Purpose
Community Facility
Projects**



**Case-by-Case
Reviews**



**Ineligible
Uses**



Presumptively Eligible Multi-Purpose Community Facility Projects

This funding may be used to construct or improve buildings that are designed to jointly and directly enable work, education, and health monitoring.

- Eligible projects must directly and jointly enable all three activities, with additional functions or purposes permitted
- Applicants should explain why communities identified to be served by Multi-Purpose Community Facility Projects have a critical need for such projects

Examples of Eligible Multi-Purpose Community Facility Projects

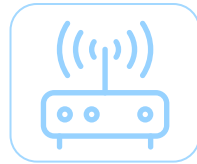
Construction or improvement of **full-service community schools** providing academic programs, workforce training or career counseling, and health monitoring

Construction or improvement of **libraries** that provide public access to the internet for work, education, and health monitoring, and may provide other resources like digital literacy training

Construction or improvement of **community health centers** that provide health monitoring and a broader range of services including job counseling and health education classes

Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.



**Broadband
Infrastructure Projects**



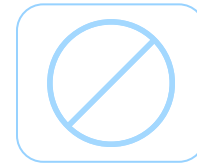
**Digital Connectivity
Technology Projects**



**Multi-Purpose
Community Facility
Projects**



**Case-by-Case
Reviews**



**Ineligible
Uses**



Case-by-Case Reviews

Recipients may propose other uses of funds. To be eligible in Treasury's review, the Recipient must demonstrate that the proposed use of funds:

1 Invests in a Capital Asset that Directly Enables Work, Education, and Health Monitoring

- Funds must be used for the construction, purchase, installation of, and/or improvements to capital assets where costs of such assets are capitalized or depreciated
- Upon completion, the project must be designed to be used by community members to directly engage in all three activities

2 Addresses Impact of COVID-19

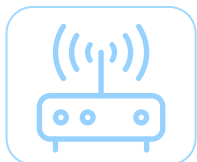
- The project must be designed to address impediments to community members' ability to directly engage in all three activities that resulted from, were made apparent, or exacerbated by COVID-19

3 Addresses a Critical Need

- The project must serve communities that lack access to the resources or services that the project is designed to provide
- Lack of access can be caused by an absence, insufficient levels, or unaffordability of a service or resource

Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.



**Broadband
Infrastructure Projects**



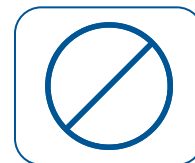
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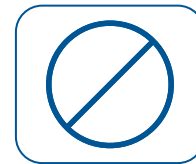
**Multi-Purpose
Community Facility
Projects**



**Case-by-Case
Reviews**



**Ineligible
Uses**



Presumptively Ineligible Uses

The following projects are ineligible under the Capital Projects Fund program.



General Infrastructure Projects

- Highways, bridges, transit systems, and ports are presumptively ineligible capital projects

The following projects are not presumed to be eligible under the Capital Projects Fund program; although, there may be opportunities for such projects to receive funding if they meet the eligibility criteria. Such projects will be reviewed on a case-by-case basis.



General Construction and Improvement of Hospitals or Traditional Schools

- Construction or improvement of hospitals and schools must demonstrate that they directly and jointly enable work, education, and health monitoring

SECTION 4: How to Apply and Access Funds

Completing the Application

States, territories, and freely associated states must apply through the Capital Projects Fund application portal.

- For states, territories, and freely associated states, the application process consists of several parts:
 - **Register** for ID.me. Each individual person who will be accessing or editing a CPF application must register. Registration is on a per-user (not per-application) basis.
 - **Apply** for the CPF program by completing an application using the online portal. The application establishes applicant eligibility and asks applicants to indicate an award amount. We estimated it will take about 60 minutes to complete.
 - **Certify** the application. This must be done by a state governor (or similar), or by an authorized representative who is duly designated in writing by the governor (or similar).
 - **Respond** to any questions from Treasury regarding the application.
 - **Sign** a grant agreement with Treasury, binding the applicant to the terms of the CPF grant.

All applications must be completed no later than December 27, 2021.



Accessing the Capital Projects Fund Application Portal

An official website of the United States Government

U.S. DEPARTMENT OF THE TREASURY

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HOME > POLICY ISSUES > COVID19 ECONOMIC RELIEF > ASSISTANCE FOR STATE, LOCAL, AND TRIBAL GOVERNMENTS > CAPITAL PROJECTS FUND

POLICY ISSUES

- COVID19 Economic Relief
 - Assistance for American Families and Workers
 - Assistance for Small Businesses
- Assistance for State, Local, and Tribal Governments
 - State and Local Fiscal Recovery Fund
- Capital Projects Fund**
 - Capital Projects Fund for Tribal Governments
 - Apply for CPF Funding
 - Homeowner Assistance Fund
 - Emergency Rental Assistance Program
 - State Small Business Credit Initiative
 - Coronavirus Relief Fund

Capital Projects Fund

The Coronavirus Capital Projects Fund (Capital Projects Fund) address many challenges laid bare by the pandemic, especially in rural America, Tribal communities, and low- and moderate-income communities, helping to ensure that all communities have access to the high-quality modern infrastructure, including broadband, to access critical services.

The American Rescue Plan provides \$10 billion for payments to eligible governments to fund capital projects that directly enable work, education, and health monitoring, including response to the public health emergency.

Eligible states, territories, and freely associated states may now submit applications for Capital Projects Fund allocation using the Treasury Submission Portal.

APPLY FOR THE CAPITAL PROJECTS FUND

ID.me + TREASURY

Sign in to ID.me

New to ID.me?
[Create an ID.me account](#)

Email

Password

Sign in to ID.me

[Forgot password](#)

Or sign in with

- Facebook
- Google
- LinkedIn

[View more options >](#)



CPF Application Portal Homepage

State, Local, and Tribal Support

Start a Submission

Start a Compliance Report

Introduction

Submissions & Compliance Forms

Welcome to the three Treasury Programs supporting state, territory, Tribal, and local governments as part of the 2021 American Rescue Plan.

Depending on if you are a state, territory, local, or Tribal government, you will be eligible for different programs. Please select "Start a Submission" at Top-Right to begin to identify which programs you may be eligible for.

- **STATE AND LOCAL FISCAL RECOVERY FUNDS** - \$350 billion available for state, territory, Tribal, and local governments to support the public health response and lay the foundation for a strong and equitable economic recovery.
- **HOMEOWNER ASSISTANCE FUND** - Nearly \$10 billion available for state, territory, and Tribal governments to provide relief for our country's most vulnerable homeowners.
- **EMERGENCY RENTAL ASSISTANCE** - \$21.6 billion available for state, territory, and local governments to assist households that are unable to pay rent and utilities.
- **CAPITAL PROJECTS FUND** - \$10 billion available for state, territory, freely associated state, and Tribal governments for capital projects designed to jointly and directly enable work, education, and health monitoring, which includes the provision and improvement of broadband infrastructure where it is lacking.

Submission Process: You now have a login and 24/7 access to this portal. You have two options while working on the submission/s - save your progress or submit the submission. If you save, you can return and edit information as needed. To resume working on a draft submission, click on "Submissions & Compliance Forms" using the navigation to the left of the page. This will bring you to your list of submissions, click "Provide Information" to continue working. Once you submit and certify the agreement, you cannot edit your information for that particular program without reaching out to Treasury to re-open the case and edit.

Contact Us/Help: For assistance on your submission and other questions, contact covidrelieftsupport@treasury.gov

Ready to get started? Click "Start a Submission" at Top-Right.

Go To Your Reports



Starting a CPF Application Submission

LOCAL FISCAL RECOVERY FUNDS - \$350 billion available for state, territory, Tribal, and local governments to support the public health response and lay the foundation for recovery.

Submissions to Start

Are you applying for funding from the Coronavirus Capital Projects Fund (CPF) program? (Note: If you are applying for multiple programs including the CPF program, first select Yes and complete the additional questions. Once the CPF application is created, return to the SLT page and select the "Start Submission" button again and then select No.)

Choose an Option

For assistance on your submission and other questions, contact covidrelieffsupport@treasury.gov

Submissions to Start

Applicant Type



--None--




Once you click the Create button, a draft CPF application form will appear under the tab "Submissions & Compliance Forms" on the SLT homepage. Navigate to that tab and then click the link "[Provide Information](#)" to complete the application.

Create



Confirmation of Application Creation

Sandbox: CPF-0000040 CPF Application Created External Inbox X  

CapitalProjectsFund@treasury.gov <capitalprojectsfund@treasury.gov> 8:32 AM (0 minutes ago)   

to me, caresitforms@treasury.gov ▾

Dear Capital Projects Fund Applicant,

This email confirms that you have successfully created an application for the Capital Projects Fund (CPF) grant program. **Please make sure to complete and submit the application before the deadline of 12/27/2021 at 11:59PM ET.** To be eligible for consideration, applications must be completed and signed/certified by the deadline. Please review the submission requirements in the final section (Tab 5) of the application and make sure to submit the necessary information and documentation timely.

This email has been sent to the individual who created the application on the CPF portal. Future automated emails will continue to be sent to this address as well as any other designated as point of contact addresses that are added in the application. You may update who receives CPF communications at any time but contacting us at CapitalProjectsFund@Treasury.gov.

Thank You,

CPF Application Review Team
U.S. Department of the Treasury

Guidance and Program Information: <https://www.treasury.gov/CPF>
Application Support Email: CapitalProjectsFund@Treasury.gov
Application Support Phone: 844-529-9527



Applicant Information

SECTION 1 - User
Instructions

SECTION 2 - Applicant
Info

SECTION 3 - Banking
Information

SECTION 4 - Award
Information

SECTION 5 -
Certification &
Submission

SECTION 2: Applicant Info

Applicant Category

Primary Contact Information

Applicant Info

Entity's Authorized Contact

SECTION 2.1: Applicant Category

Please provide the following information about the applying entity and related personnel.

The authorized representative is the individual who will sign the necessary certifications, submit the Application, and sign the Grant Agreement on behalf of the Applicant. All Applicants must clearly delegate authority to the appropriate entity and individual to serve as the authorized representative. Please select one of the following two options:

- The authorized representative is an employee or member of the applicant entity and is duly authorized by virtue of their position (e.g., Tribal leader, governor) within the entity to submit an application on the applicant's behalf and legally bind the applicant.
- The authorized representative is not duly authorized to submit an application by virtue of their position, but is acting on the applicant's behalf to legally bind the applicant through delegated authority granted to the authorized representative by the applicant entity.

Save Information

Next



Applicant Information Details

- **Primary Contact Information** – Contact information for the person who is filling out the online portal. You can also add additional POC Email Addresses.
- **Applicant Information** – Identifying information for the state, territory, or freely associated state that is applying for funding.
- **Authorized Representative Information** – Contact information for the person who will be signing the certification to submit this application.
- **Banking Information** – Information needed for us to send you payments.



Award Information

SECTION 1 - User Instructions

SECTION 2 - Applicant Info

SECTION 3 - Banking Information

SECTION 4 - Award Information

SECTION 5 - Certification & Submission

SECTION 4 - Award Information

You may select to receive up to the full amount allocated to you by the statutory formula, which is displayed below. Your claim is the awardable amount that you will receive if you meet the CPF requirements. You may reduce your claim at any time during the period of performance, but you may not increase your award above what you initially request on this form. Generally, your CPF claim should be equal to the CPF allocation; unclaimed funds will be forfeited. Please indicate the awardable amount you wish to receive.

● CPF Allocation
\$14,285,714.29 ← Auto Calculated

- Do you wish to receive the full CPF allocation of: \$14,285,714.29

Yes

Calculate Available Administrative Funds

● Available Administrative Funds
\$714,285.71 ← Auto Calculated

● - Enter Immediate Administrative Funds

\$714,285.71

Save Information

Next



Accessing Funds

States, territories, and freely associated states must also complete a Grant Plan.

- A **Grant Plan** describes the anticipated use(s) for funds and establishes some measures for assessing performance.
- Grant Plans require the following:
 - **Executive summary**
 - **Allocation Table** showing the broad categories of Capital Projects and intended spending on each category
 - **Program Plan(s)** providing more detailed information on each category of Capital Project described in the Allocation Table.
 - » Program Plans may be submitted together or singly.
 - » Applicants may submit additional Program Plans on a rolling basis through the one-year submission window.
 - » Treasury will make CPF funding available for each Program Plan, in turn, as they are received and approved.

**All Grant Plans and Program Plans must be submitted no later than
September 24, 2022.**



Applicant Outreach and Support

Treasury will provide applicants with support throughout the application and Grant Plan submission process.

The CPF team created an Applicant Liaison Team that will:

- **Assign a dedicated POC to each applicant** to answer questions about the program
- **Offer support to applicants** on understanding the guidance and addressing any application and Grant Plan issues during the application development and review process
- **Host webinars and briefings** providing information about the guidance, application process, and other topics for applicants and other organizations
- **Periodically update and publish FAQ documents** to provide applicants with clarity
- **Partner with the call center to manage incoming questions** via phone and the CapitalProjectsFund@treasury.gov inbox to resolve IT and programmatic questions



SECTION 5: Reporting Requirements

Reporting Requirements

Recipients will be required to submit ongoing reports on their use of funds and activities.

	1 Project and Expenditure Report	2 Performance Report
Contents	<ul style="list-style-type: none">• Types of projects funded• Financial data• Project status and performance narrative• Project type-specific data	<ul style="list-style-type: none">• Approach and objectives• Descriptions of projects• Information on performance indicators• Actions taken to promote equity
Required Submissions	<ul style="list-style-type: none">• All recipients	<ul style="list-style-type: none">• States, territories, and freely associated states only
Frequency	<ul style="list-style-type: none">• States, territories, and freely associated states submit quarterly• Tribal governments submit annually	<ul style="list-style-type: none">• States, territories, and freely associated states submit annually• Tribal governments do not submit

Treasury will provide additional guidance and instructions on the reporting requirements at a later date.



Section 6: Q&A

Question 1

Can the Grant Plan (including at least one Program Plan) be filed at the same time as the Application (i.e., before the Grant Agreement is executed)?

Question 2

The Guidance indicates that Program Plans can be submitted on a rolling basis throughout the 365-day submission window. Does that mean all Program Plans must be submitted no later than September 24, 2022?

Question 3

Are cities or counties eligible to apply for Capital Projects Fund grant funds?

Question 4

If a state wanted to use all of its allocated Capital Projects funding for Broadband Infrastructure Projects, could a state submit its Grant Plan and a single Program Plan for all of its funding at one time?

Question 5

Is state-collected data, such as broadband mapping data, an allowed cost?

Question 6

Can a program fund a broadband infrastructure project in an area where there is an existing enforceable federal funding commitment?

Thank you.



For More Information:

Please visit Treasury's Capital Projects Fund website treasury.gov/CPF

For Media Inquiries:

Please contact the U.S. Treasury Press Office at (202) 622-2960

For General Inquiries:

Please email CapitalProjectsFund@treasury.gov